

12-28-00

**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

12/27/00  
JC962 U.S. PTO

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09/748831

**BOX PATENT APPLICATION**  
**ASSISTANT COMMISSIONER FOR PATENTS**  
**Washington, D.C. 20231**

Docket No.: YOR920000705US1

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Stephen Heisig and Donna Dillenberger

For: A PROTOCOL ADAPTER FRAMEWORK FOR INTEGRATING  
NON-IIOP APPLICATIONS INTO AN OBJECT SERVER  
CONTAINER

Enclosed are:

XXX Specification (11 pps.) consisting of: Description (8 pps); Claims (2 pps);  
Abstract (1 pp);

XXX 2 sheets of formal drawings;

       Declaration and Power of Attorney;

       Associate Power of Attorney;

       An assignment of the invention to: International Business Machines Corporation including a \$40.00 recordation fee and Assignment  
Recordation Form Cover Sheet;

       Information Disclosure Statement (with copies of patent);

       Form - PTO-1449;

       Verified Statement Claiming Small Entity Status; and

       Priority of U.S. Patent Application Serial No. \_\_\_\_\_, filed on  
is claimed under 35 U.S.C. §120.

       Priority of U.S. Provisional Patent Application Serial No. \_\_\_\_\_, filed  
on \_\_\_\_\_ is claimed under 35 U.S.C. §119(e).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	9 - 20 =	0	x \$18.00	\$ .00
Independent Claims	2 - 3 =	0	x \$80.00	\$ .00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$710.00</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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**XXX** No fee enclosed – filing by missing parts.

\_\_\_\_\_ A check in the amount of \$ .00 for the filing fee (\$) and assignment recordal fee (\$) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

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December 27, 2000  
Date of Signature

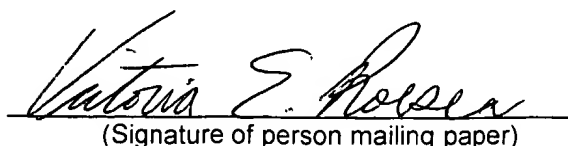


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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EH442843153US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 27, 2000.

Victoria E. Roeser  
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